

# ASSA

## The Shift to Market Conduct

Caroline Da Silva



# Conduct Supervision The Universal Theory of Everything





Building a new organisation  
An inclusive and transformed financial  
sector

A robust regulatory framework that  
promotes TCF

Informed financial customers  
Strengthening the efficiency and  
integrity of our financial markets  
Understanding new ways of doing  
business and disruptive technologies

# Robust Regulatory Framework

Explanatory Policy Paper accompanying the  
**CONDUCT OF FINANCIAL  
INSTITUTIONS BILL**

Paper accompanying the first draft of the COFI Bill



national treasury  
Department of  
National Treasury  
REPUBLIC OF SOUTH AFRICA







# Proactive – Pre-emptive Intrusive- Emerging Risks





# Data and Complaint Trends





# Social Media and Reputation





# FINTECH



**THANK YOU**